

**CERTIFICATION REGARDING CORRESPONDENT ACOOUNTS FOR FOREIGN BANKS and
FOREIGN INTERMEDIARIES**

The information contained in this Certification is sought pursuant to Sections 5318(j) and 5318(k) of Title 31 of the United State Code, as added by sections 313 and 319(b) of the USA PATRIOT Act of 2001 (Public Law 107-56).

This Certification should be completed by any **foreign bank and/or foreign intermediary** that maintains a correspondent account with any U.S. bank or broker-dealer in securities (a **covered financial institution** as defined in 31 CFR 1010.605(e)). An entity that is not a foreign bank and/or foreign intermediary is not required to complete this Certification.

A **foreign bank and/or foreign intermediary** are entities organized under foreign law and located outside of the United States (see definition at 31 CFR 1010.100(u)). A bank includes offices, branches, and agencies of commercial banks or trust companies, private banks, national banks, thrift institutions, credit unions, and other organizations chartered under banking laws and supervised by banking supervisors of any state (see definition at 31 CFR 1010.100(d)).¹

A **correspondent account** for a foreign bank and/or foreign intermediary is any account to receive deposits from, make payments or other disbursements on behalf of a foreign bank, or handle other financial transactions related to the foreign bank.

Special instructions for foreign branches of U.S. banks: A branch or office of a U.S. bank outside the United State is a foreign bank. Such a branch or office is not required to complete this Certification with respect to Correspondent Accounts with U.S. branches and offices of the same U.S. bank.

Special instructions for covering multiple branches on a single Certification: A foreign bank and/or foreign intermediary may complete one Certification for its branches and offices outside the United States. The Certification must list all of the branches and offices that are covered and must include the information required in Part C for each branch or office that maintains a Correspondent Account with a Covered Financial Institution. Use attachment sheets as necessary.

A. The undersigned financial institution, Banco Inbursa S.A., Institución de Banca Multiple, Grupo Financiero Inbursa ("**Foreign Bank and/or Foreign Intermediary**") hereby certifies as follows:

B. **Correspondent Accounts Covered by this Certification:** Check one box.

This Certification applies to all accounts established for Foreign Bank and/or Foreign Intermediary by Covered Financial Institutions.

This Certification applies to Correspondent Accounts established by (name of Covered Financial Institución (s)) for Foreign Bank and/or Foreign Intermediary.

C. **Physical Presence/Regulated Affiliate Status:** Check one box and complete the blanks.

Foreign Bank and/or Foreign Intermediary maintain a **physical presence** in any country. That means:

¹ A "foreign bank" does not include any foreign central bank or monetary authority that functions as a central bank, or any international financial institution or regional development bank formed by treaty or international agreement.

- Foreign Bank and/or Foreign Intermediary has places of business at the following street address: Avenida Paseo de las Palmas 750 Lomas de Chapultepec III Sección Miguel Hidalgo Distrito Federal 11000, where Foreign Bank and/or foreign Intermediary employs one or more individuals on a full-time basis and maintains operating records related to its banking activities.
- The above address is in Mexico (insert country), where Foreign Banff and/or Foreign Intermediary is authorized to conduct banking activities.
- Foreign Banff and/or Foreign Intermediary is subject to inspection by National Banking and Securities Comission (CNBV), (insert Banking or Supervisory Authority), the Authority that licensed Foreign Bank and/or Foreign Intermediary to conduct banking and/or financial activities.

Foreign Baulk and/or Foreign Intermediary does not have a physical presence in any country, but Foreign Bank and/or Foreign Intermediary is a **regulated affiliate**. That means:

- Foreign Bank and/or Foreign Intermediary is an affiliate of a depository institution, credit union, a foreign bank or foreign financial institution that maintains a physical presence at the following street address: _____, where it employs one or more persons on a full-time basis and maintains operating records related to its baulking or financial activities.
- The above address is in _____ (insert country), where the depository institution, credit union, foreign baulk or foreign financial institution is authorized to conduct banking activities.
- Foreign Bank and/or Foreign Intermediary is subject to supervision by _____, (insert Banking or Supervisory Authority), the same Authority that regulates the depository institution, credit union, foreign bank and/or financial intermediary.

Foreign Bank and/or Foreign Intermediary does not have a physical presence in a country and is not a regulated affiliate.

D. Indirect Use of Correspondent Accounts: Check box to certify.

- No Correspondent Account maintained by a Covered Financial Institution may be used to indirectly provide banking services to certain foreign banks or foreign intermediaries. Foreign Bank and/or Foreign Intermediary hereby certifies that it does not use any Correspondent Account with a Covered Financial Institution to indirectly provide baulking or financial services to any foreign bank and/or Foreign Intermediary that does not maintain a physical presence in any country and that is not a regulated affiliate.

E. Ownership Information: Check box 1 or 2 below, **if applicable**.

1. **Form FR Y-7 is on file.** Foreign Bank and/or Foreign Intermediary has filed with the Federal Reserve Board a current Form FR Y-7 and has disclosed its ownership information on Item 4 of Form FR Y-7.
2. **Foreign Bank and/or Foreign Intermediary's shares are publicly traded.** Publicly traded means that the shares are traded on an exchange or an organized over-the-counter market that is regulated by a foreign securities authority as defined in section 3(a)(50) of the Securities Exchange Act of 1934 (15 U.S.C. 78c(a)(50)).

If **neither** box 1 or 2 of Part E is checked, complete Item 3 below, if applicable.

3. Foreign Bank and/or Foreign Intermediary has no **owner(s)** except as set forth below. For purposes of this Certification, **owner** means any person who, directly or indirectly, (a) owns, controls, or has power to vote 25 percent or more of any class of voting securities or other voting interests of Foreign Bank and/or Foreign Intermediary; or (b) controls in any manner the election of a majority of the directors (or individuals exercising similar functions) or Foreign Bank and/or Foreign Intermediary.

For purposes of this Certification, (i) **person** means any individual, bank, corporation, partnership, limited liability company or any other legal entity; (ii) **voting securities or the voting interests** means securities or other interests that entitle the holder to vote for or select directors (or individuals exercising similar functions); and (iii) members of the same family² shall be considered one person.

| NAME | ADDRESS |
|--|----------------------------------|
| Grupo Financiero Inbursa | Avenida Paseo de las Palmas #750 |
| (Publicly Traded) | Colonia Lomas de Chapultepec |
| | México D.F. |
| | Zip Code 11000 |
| Ing. Carlos Slim Helú and members of this immediate family 57.51%* | |
| | |
| | |

* This information is available in: www.bmv.com.mx/infoanua/infoanua_524050_2013_1.pdf

F. Process Agent: complete the following.


The following individual or entity: CT Corporation System is a resident of the United States at the following street address: 28 Liberty Street New York, N.Y. 10005, and is authorized to accept service of legal process on behalf of Foreign Bank and/or Foreign Intermediary from the Secretary of the Treasury or the Attorney General of the United States pursuant to Section 5318 (k) of Title 31, United States Code.

C. General.

Foreign Bank and/or Foreign Intermediary hereby agrees to notify writing each Covered Financial Institutions at which maintains any Correspondent Account of any change in facts or circumstances reported in this Certification. Notification shall be given within 30 calendar days of such change.

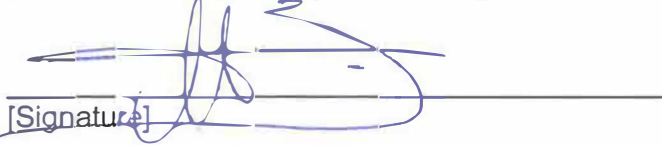
Foreign Bank and/or Foreign Intermediary understands that each Covered Financial Institution at which it maintains a Correspondent Account may provide a copy of this Certification to the Secretary of the Treasury and the Attorney General of the United States. Foreign Bank and/or Foreign Intermediary further understands that the statements contained in this certification may be transmitted to one or more departments or agencies of the United States or America for the purpose of fulfilling such departments' and agencies' governmental functions.

² The same family means parents, spouses, children, siblings, uncles, aunts, grandparents, grandchildren, first cousins, stepchildren, stepsiblings, parents-in-law and spouses of any of the foregoing. In determining the ownership interests of the same family, any voting interest of any family member shall be taken into account.

I, **Oscar Alejandro Bustamante Ruisánchez** (name if signatory), certify that I have read and understand this Certification, that the statements made in this Certification are complete and correct, and that I am authorized to execute this Certification on behalf of Foreign Bank and/or Foreign Intermediary. 

Banco Inbursa S.A. Institución de Banca Múltiple, Grupo Financiero Inbursa

[Name of Foreign Bank and/or Foreign Intermediary]


[Signature]

Oscar Alejandro Bustamante Ruisanchez

[Printed Name]

Compliance Officer

[Title]

Executed on this 23 day of September, 2019